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n February 3, 2004

Glenn P. Ladwig, Patent Attorney

ASSERTION OF SMALL ENTITY STATUS

UNDER 37 C.F.R. §1.27(c) Examining Group 1632

Patent Application

Docket No. UF-221C1XCZ1

Serial No. 10/706,635

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

(Not yet assigned)

Art Unit

1632

Applicants

Richard W. Moyer, Yi Li

Serial No.

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November 12, 2003

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8304

For

Materials and Methods for Delivery and Expression of Heterologous DNA

in Vertebrate Cells

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. §1.27(c)

Sir;

The applicant asserts that the applicant is a small entity as defined in 37 C.F.R. §1.27(a), and that small entity status is entitled to be asserted for the above-identified application.

Respectfully submitted,

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